

# FCC Actions to Introduce 5G in the United States



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> **5G** HUDDLE 2016 April 26 - 27, 2016

Note: The views expressed in this presentation are those of the author and may not necessarily represent the views of the Federal Communications Commission

#### FCC Approach to 5G



# Remarks of FCC Chairman Tom Wheeler at March 8, 2016 Satellite Leadership Dinner:

"The United States approaches the kind of opportunity 5G presents somewhat differently from other countries. We do it by indicating which spectrum will be made available and then relying on a private sector-led process for producing technical standards best suited for those frequencies. We won't wait for the standards to be first developed in the sometimes arduous standards-setting process or in a government-led activity."

"Last summer, the Commission launched what we call our Spectrum Frontiers rulemaking to explore the use of millimeter wave spectrum – the airwaves at 24 GHz and above – for 5G. Our Spectrum Frontiers rulemaking reflects the need to be innovative and flexible in how we utilize spectrum. We cannot limit ourselves to old models or worst-case analyses."

## 5G – Policy Perspective



- ☐ 5G is a national priority for US
  - We indicate which spectrum will be made available
  - Rely on a private sector-lead process for producing technical standards best suited for those frequencies
- Flexible licensing rules to support various service models
  - Carrier-driven vs. new entrant vs. end-user driven network
- □ Technical rules to take advantage of propagation characteristics of mmW bands
  - Enable sharing opportunities
  - Enhance spectrum reuse
- Create opportunities for innovators to build and deliver new services and applications to consumers

## **Key Events**



- ☐ FCC Spectrum Frontiers Notice of Proposed Rulemaking (NPRM)
  - ☐ Released on October 23, 2015
  - ☐ Comments and Reply Comment were due on Jan 26<sup>th</sup> and Feb 23<sup>rd</sup>, 2016
- WRC15
  - ☐ Identified 11 bands to study for 5G in WRC19
  - □ Not all of Spectrum Frontiers NPRM bands are aligned with WRC15 study bands
- 2016 MWC in Barcelona
  - 5G took a center stage
- ☐ FCC 5G Workshop
  - ☐ One-day workshop on April 10<sup>th</sup>, 2016
  - ☐ Panel discussions on key topics with industry leaders
  - Latest 5G equipment were demonstrated

#### **Spectrum Frontiers NPRM**



- Core Principles
  - ☐ Identify substantial spectrum in MMW bands for new services
  - Protect incumbent services against interference
  - ☐ Flexible use: Enable market to determine highest valued use
  - Overlay auctions where no existing assignments
  - ☐ Provide spectrum for both licensed and unlicensed use
  - Ensure cyber security protections are considered from the start
- Frequency Bands
  - Proposed 27.5-28.35 GHz; 38.6-40 GHz; 37-38.6 GHz; 64-71 GHz
  - □ Invited comment on 24.25-24.45 GHz; 25.05-25.25 GHz; 29.1-29.25 GHz; 31-31.3 GHz; 31.8-33 GHz; 42-42.5 GHz; 71-76 GHz; 81-86+ GHz
- Licensing, Operating and Regulatory Rules/Issues
  - □ Part 30: Upper Microwave Flexible Use Service (UMFUS)
  - Geographic Area Licensing, Area Size, Band Plan, License Term
  - Technical rules
  - Performance Requirements
- Further NPRM planned post-WRC

## **Overview of Proposed Bands**

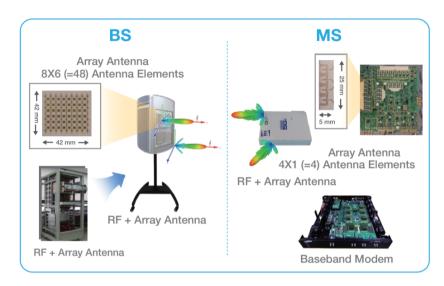


|                           | 28 GHz  | 37 GHz   | 39 GHz   | 64-71 GHz  |
|---------------------------|---|--|--|--|
| Frequency                 | 27.5-28.35 GHz  | 37-38.6 GHz  | 38.6-40 GHz  | 64-71 GHz  |
| Bandwidth                 | 850 MHz   | 1600 MHz   | 1400 MHz   | 7000 MHz   |
| Terrestrial<br>Allocation | Licensed for fixed operations, with about 75% of the population covered by existing licenses; remaining licenses in inventory | Yes (no current use)   | Licensed for fixed operations, with about 50% of the population covered by existing licenses; the remaining licenses are in inventory. | Yes (no current use)                               |
| Federal<br>Allocation     | No  | Radio Astronomy /<br>Space Research in<br>37-38 GHz @ 3 sites;<br>Federal Fixed/Mobile<br>in 37-38.6 GHz @<br>14 locations | Fixed Satellite<br>Service / Mobile<br>Satellite Service in<br>39.5-40 (military use<br>only)  | Earth Exploration Satellite Fixed/Mobile/Satellite |
| Satellite<br>Allocation   | Yes<br>Secondary E-S  | Yes (no current use)   | Yes (no current use)   | Yes (no current use)                               |
| Proposed<br>Licensing     | Licensed  | Licensed   | Licensed   | Unlicensed   |

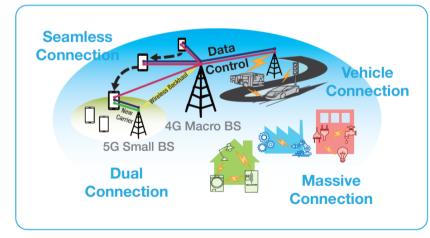
## Some Factors Enabling Sharing



- High amount of spectrum provides flexibility to avoid interference
- Relatively high path loss
- Adaptive antenna technology (steered beams)
- Heterogeneous networks



**Full Dimensional MIMO** 



#### **Proposed Technical Rules**

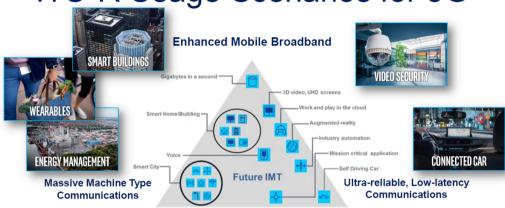


- Flexible Duplexing
  - TDD and/or FDD
- TX Power
  - Max EIRP of 62dBm/100MHz is proposed for BS
  - Max EIRP of 43dBm is proposed for MS
  - □ Sought comments on the bandwidth factor for mmW band technologies
- Out of Band Emissions (OOBE)
  - □ Radiated measurement is assumed due to lack of RF port
  - 43+10logP is proposed and sought comments on the measurement bandwidth, offset and other parameters as applicable from existing FCC rules
- ☐ Field Strength at Market Borders
  - Sought comments on the applicability of 47dBuV/m per Part 27 rules
- Measurement Techniques
  - Sought comments on measurement challenges of mmW bands, particularly on the radiated measurement techniques

## FCC 5G Workshop - Service Vision



#### ITU-R Usage Scenarios for 5G

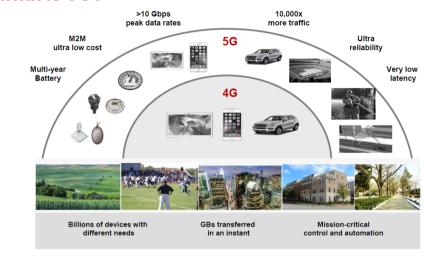


Source: Recommendation ITU-R M.2083 "IMT Vision - Framework and overall objectives of the future development of IMT for 2020 and beyond"

Intel products fuel the 5G engine by enabling new applications in a variety of spectrum bands

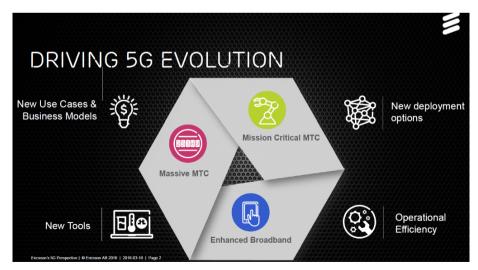
#### Heterogeneous use cases – diverse requirements Jrimited expenience 100 Mbps NOKIA whenever needed >10 Gbps peak data rates နှာ 10 000 Extreme x more traffic Mobile Broadband 10-100 x more devices 5G <1 ms radio latency M2M Massive machine machine ultra low cost communication communication Ultra 10 years evenything" on battery reliability

#### So what is 5G?



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# FCC 5G Workshop - Equipment Demos





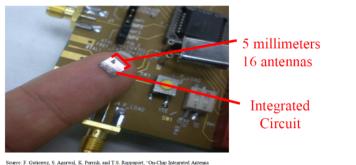
## **5G Technology Status**

SAMSUNG





#### mmWave Wavelength Visualization – 60 GHz

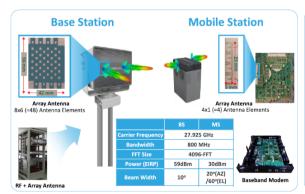


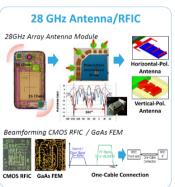
Source: F. Gutierrez, S. Agarwal, K. Parrish, and T.S. Rappaport, "On-Chip Integrated Antenna Structures in CMOS for 60 GHz WPAN Systems," IEEE Journal on Selected Areas in Communications, vol. 27, no. 8, October 2009, pp. 1367 – 1377.



#### mmWave Testbed / Chipset Development

#### World's 1st mmWave Testbed and Antenna/RFIC for Devices







#### **Next Steps**



- NPRM enjoys strong support
  - Suppliers have developed equipment
  - Carriers are conducting tests & planning trials
- Work is proceeding to fine tune proposals
  - Satellite and Wireless industries collaborating to develop sharing framework
  - Developing incumbent protections
- ☐ FCC plans to act this summer
  - Will identify spectrum & adopt rules for 5G
  - Will propose or consider additional spectrum (including WRC bands)
  - FCC action is not at odds with international harmonization
- Research by government and industry is ongoing



## **Thank You**